1 for use through 08/30/2003. OMB 0651-0031 1 4 2003 U.S. Patent and Tra ark Office; U.S. DEPARTMENT OF COMMERCE he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numbe Application Number 10/087,098 **TRANSMITTAL** Filing Date 03/01/2002 TRADE **FORM** First Named Inventor OCT 2 0 $200\overline{3}$ G. Eric Engstrom Art Unit 2682 (to be used for all correspondence after initial filing) Technology Center 2600 **Examiner Name** West, Lewis G. Attorney Docket Number 109909-129542 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication V Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC **~** Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Return Receipt Postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm SCHWABE, WILLIAMSON & WYATT, PC Individual name Signature Date 10/10/2003

CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Heather L. Adamson

Date

10/10/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

the date shown below. Typed or printed name

Signature

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EE	TR	AN	SMI	TT	AL
1	for	FY	200	4	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$) 0.00TOTAL AMOUNT OF PAYMENT

C	omplete if Known		\
Application Number	10/087,098	RECEIVE	+
Filing Date	03/01/2002	THE VENTE	120
First Named Inventor	G. Eric Engstrom	OCT 2 0 200	1
Examiner Name	West, Lewis G.		1,
Art Unit	2682	Technology Cente	1
Attorney Docket No.	109909-129542		7

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit card Money Other None	3. ADDITIONAL FEES				
✓ Deposit Account:	Large Entity Small Entity				
Denosit	Fee Code		Fee Code	Fee (\$)	Fee Description
Account Number 500393	1051	130	2051		Surcharge - late filing fee or oath
Deposit Account Schwabe, Williamson	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
Name Charles (charles)	1053	130	1053	130	Non-English specification
The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments		2,520	1812	2,520	For filing a request for ex parte reexamination
Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to Examiner action
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month
1. BASIC FILING FEE		420	2252	210	Extension for reply within second month
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month
Fee Fee Fee Fee Fee Description Fee Paid Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding
SUBTOTAL (1) (\$) 0.00	1452	110	2452	55	Petition to revive - unavoidable
	1453	1,330	2453	665	Petition to revive - unintentional
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)
Extra Claims below Fee Paid Total Claims 20** = X =	1502	480	2502		Design issue fee
Total Claims -20** = X = X	1503	640	2503		Plant issue fee
Claims -3 = - ^	1460 1807	130	1460		Petitions to the Commissioner
		50	1807		Processing fee under 37 CFR 1.17(q)
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt
Code (\$)	8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802		Request for expedited examination of a design application
<u> </u>	Other fee (specify)				
SURTOTAL (2) 1(%) 0.00				ee Paid SUBTOTAL (3) (\$) 0.00	
or named previously paid, if greater, i or neissues, see above					, , , , , , ,

SUBMITTED BY	(Complete	(Complete (if applicable))			
Name (Print/Type)	Aloysius T.C. AuYeung	Registration No. (Attorney/Agent)	35,432	Telephone	9 503-222-9981
Signature	1 Jan	—	1000000	Date	10/10/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#: P004

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

ENGSTROM ET AL.

Application No.: 10/087,098

Filed: March 1, 2002

PERSONALIZING ELECTRONIC For:

DEVICES AND SMART

COVERING

Examiner: West, Lewis G

10/30/03

Art Group: 2682

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: 10/10/2003

Typed or Printed: Heather ⊬Adamson

OCT 2 0 2003

Technology Center 2600

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

AMENDMENT AND RESPONSE

Sir:

This communication is responsive to the office action dated July 31, 2003.

Reconsideration of the captioned application in view of the amendment and remarks to follow is respectfully requested.